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Society of Cable Telecommunications Engineers

### Docsis 3.0 Workshop



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### **Objectives (1<sup>st</sup> Half of the Workshop)**



- Understand the motivation for DOCSIS 3.0
- Understand the similarities/differences between DOCSIS specifications
- Understand the key features and concepts of DOCSIS 3.0
- Understand the CMTS models described in DOCSIS 3.0 specifications
- Understand DOCSIS 3.0 qualification and certification requirements
- Understand some possible network designs to deploy DOCSIS 3.0 services



- Why DOCSIS 3.0?
- DOCSIS Specification Comparison
- DOCSIS 3.0 Features and Concepts
- DOCSIS 3.0 Qualification and Certification
- DOCSIS 3.0 CMTS Models
- DOCSIS 3.0 Network design examples
- Q&A

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# Why DOCSIS 3.0?



# Why DOCSIS 3.0?

- Increased competition from Telcos and other providers
- Facilitates additional service offerings
- Consumers want higher tier data offerings
- IP applications congoing more capacity



### **Competition from Telcos**

- FiOS and U-verse expanding footprint across the country
- Broadband data offerings up to 50Mbps down and 20Mbps up
- Telcos Bundling services with broadband data offerings

Video; including High Definition

Voice

### **Additional Service Offerings**

### • IP Video

More capacity to offer IP based video IP Set Top Boxes

IP video to the PC

Business Services
Small/Medium businesses
Ethernet over DOCSIS

Symmetrical speed offerings

### **DOCSIS 1.x and 2.0 Limitations**

- Despite the improvements that have occurred as DOCSIS has evolved, maximum data rates to and from cable modems are pretty much topped out. Competition and the desire to provide new services are driving the need for even greater throughput in our DOCSIS networks.
- We're limited by the fact that the maximum raw data rate to or from cable modems is ultimately constrained by what a single 6 MHz wide channel can carry in the downstream, or what a single 6.4 MHz wide channel can carry in the upstream.
- Enter DOCSIS 3.0 and something called channel bonding

### **Industry Objectives**

### DOCSIS 3.0

• Goal:

More aggregate speed

More per-CM speed

**Enable New Services** 

**Components:** 

**Channel Bonding** 

IPv6

### **Multicast**

- Better stat muxing with bigger "pipe"
  - Offer >38.8 Mbps for single CM

• Goal:

**Increase Scalability** 

**M-CMTS** 

**Reduce Cost** 

### Components:

Low Cost E-QAM

**CMTS Core Processing** 

# 

### DOCSIS Specification Comparison



### **DOCSIS Specification Evolution**

DOCSIS 1.0 (issued on March 1997)

**High Speed Data service** 

DOCSIS 1.1 (issued on April 1999)

**Classes of Service** 

New scheduling to support voice and other real-time services

DOCSIS 2.0 (issued on Dec 2001)

More upstream capacity

6.4 MHz channel width

A-TDMA/S-CDMA supported

Additional modulation formats - 8 QAM, 32 QAM, 64 QAM

### **DOCSIS Specification Evolution Cont.**

# DOCSIS 3.0 (issued on Aug 2006) Channel Bonding IPv6 Enhanced Multicast Enhanced Security

# 

### DOCSIS Specification Comparison



# **DOCSIS Channel Assumptions**

Specification	DOCSIS 1.x	DOCSIS 2.0	DOCSIS 3.0
Downstream Freq. Range	50 – 860 MHz	50 – 860 MHz	50 – 1002 MHz
Downstream CNR	<u>≥</u> 35 dB	<u>≥</u> 35 dB	<u>≥</u> 35 dB
Upstream Freq. Range	5–42 MHz	5–42 MHz	5 – 42 MHz or 5 – 85 MHz
Upstream CNR	<u>&gt;</u> 25 dB	<u>&gt;</u> 25 dB	<u>&gt;</u> 25 dB

### **DOCSIS Modulation Formats and Rates**

Specification	DOCSIS 1.x	DOCSIS 2.0	DOCSIS 3.0		
Downstream – 6Mhz Channel	64 QAM (27 Mbps)	64 QAM (27Mbps)	64 QAM (27Mbps)		
	256 QAM (38.8Mbps)	256 QAM (38.8Mbps)	256 QAM (38.8Mbps)		
Upstream Formats	QPSK (2 bits/s) 16 QAM (4 bits/s)	QPSK (2 bits/s) 8 QAM (3 bits/s) 16 QAM (4 bits/s) 32 QAM (5 bits/s) 64 QAM (6 bits/s)	QPSK (2 bits/s) 8 QAM (3 bits/s) 16 QAM (4 bits/s) 32 QAM (5 bits/s) 64 QAM (6 bits/s)		
Upstream Rates 200 kHz 400 kHz 800 kHz 1600 kHz 3200 kHz	160 ksym/sec 320 ksym/sec 640 ksym/sec 1280 ksym/sec 2560 ksym/sec	160 ksym/sec 320 ksym/sec 640 ksym/sec 1280 ksym/sec 2560 ksym/sec	160 ksym/sec 320 ksym/sec 640 ksym/sec 1280 ksym/sec 2560 ksym/sec		
6400 kHz	100 kHz -		5120 ksym/sec		

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### **DOCSIS 3.0 Features**



### **DOCSIS 3.0 Features**

### Channel Bonding

Upstream Channel Bonding Downstream Channel Bonding

### • MAC Layer

Topology and ambiguity resolution Latency and Skew measurements CM Status and Control

### Security

Enhanced Traffic Encryption Enhanced Provisioning Security

### Network Management

CM Diagnostic Log Enhanced Signal Quality Monitoring IPDR Service Statistics Reporting Capacity Management

### IPv6

IPv6 Provisioning & Management of CMs

Alternative Provisioning Mode & Dualstack Management Modes for CMs

IPv6 Connectivity for CPEs

### IP Multicast

Source Specific Multicast (SSM) PHS, QoS, and Authorization IGMPv3/MLDv2

### Physical Layer

Extended US/DS Freq Range S-CDMA Active Code Selection

### Business Services over DOCSIS

Layer 2 Virtual Private Networks Support for T1/E1 Emulation

# 

### Downstream Channel Bonding



# **Channel Bonding**

- In a nutshell, channel bonding means data is transmitted to or from CMs using multiple individual RF channels instead of just one channel
- Channels aren't physically bonded into a gigantic digitally modulated signal; bonding is logical



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### **Downstream Channel Bonding**

- Let's say you want to increase the downstream data rate between the CMTS and modems from today's single 6 MHz wide channel limit of 38.8 Mbps (post FEC data rate)
- If you were to spread your downstream data payload across four 6 MHz wide channels, the combined raw data rate using 256 QAM on each channel would be 38.8 Mbps x 4 = 155.2 Mbps.
- A DOCSIS 3.0 modem incorporates a special tuner capable of simultaneously receiving data from those four channels. To the modem, the four channels are the logical equivalent of one large bonded channel, even though we're using four physically separate channels.

### **Downstream Channel Bonding**

- Want more? Bonding, say, 10 channels, will yield 38.8 Mbps x 10 = 388 Mbps, and bonding 24 channels works out to 24 x 38.8 Mbps = 931.2 Mbps, or just under 1 Gbps. Yikes!
- The same channel bonding concept is applicable to the upstream, giving us the ability to go far beyond DOCSIS 2.0's per-channel limit of 30.72 Mbps. How does 4 x 30.72 Mbps = 122.88 Mbps—or more—sound?





# Two Choices to get extra spectrum for D3.0 Services

### Bandwidth optimization

Improve efficiency of existing spectrum usage (channel grooming, MPEG4 compression, SDV, analog reclamation, Migrate to all digital,...)

### Bandwidth enhancement

Get a bigger pipeline (*Expand cable network's upper frequency limit to 1 GHz*)

### **Downstream Channel Bonding**

- The CMTS must support Downstream bonding groups of 2 to 4 channels
- The CMTS may support Downstream bonding groups of more than 4 channels
- Channels do not need to be adjacent

60 MHz window driven by Cable Modem

- Channels can be 64 QAM or 256 QAM
- Non-disruptive technology

Seamless migration from DOCSIS 1.x/2.0

M-CMTS and high density I-CMTS cards





# 

### Upstream Channel Bonding



### **Upstream Channel Bonding**

- The CMTS must support Upstream bonding groups of 2 to 4 channels
- The CMTS may support Upstream bonding groups of more than 4 channels
- Upstream Channels do not have to be the same:

**Channel Width** 

**Modulation format** 

Multiple Transmit Channel mode

**Enabled = Bonding** 

Disabled = DOCSIS 2.0



# **Upstream Channel Bonding**

• Upstream Request in Multiple Transmit Channel Mode

Cable Modem sends a request for grants based on queue depth

- Requests made based on bytes, not minislots.
- Continuous Concatenation & Fragmentation (CCF)



### **Channel Bonding - General**

- Channel Bonding is IP packet based
- The CMTS assigns service flows to individual Downstream or Upstream channels or Bonding Groups
- The assignment can be dynamic or static
- The CMTS can support bonded and non-bonded service flows simultaneously
- With bonded service flows, a sequencing mechanism ensures packets are ordered correctly



### **Bonded power levels**

- Changes were made to Tx power levels
- May cause issues in Upstream direction as Tx power level by CM will be lower that in DOCSIS 1.x/2.0
- Power Per Channel

Maximum power per channel depends on the amount of channels on one RF port

Number of Channels	Max Power / Channel
1	60
2	56
3	54
4	52

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### Additional Docsis 3.0 MAC Layer Concepts



# **DOCSIS 3.0 Concepts**

### Primary Capable Channel

SYNC and MDD messages

MAP and UCD messages for at least one upstream in the Service Group that Downstream reaches

### MAC Domain Descriptor Message

**Sent on Primary Capable Channels** 

**Describes the MAC Domain to DOCSIS 3.0 Cable Modems** 

**Contains Active Channel Lists** 

### Channel Group Domain and Fiber Node definitions

- A CGD "Channel Group Domain is a MAC DOMAIN that contains multiple "primary capable channels"
- A "M x N Domain represents the total "primary and secondary" channels within the DOMAIN
- The Fiber-node describes the plant topology, the set of upstream and downstream channels that can be seen by a group of bonding capable modems only.

# **DOCSIS 3.0 MDD (MAC Domain Descriptor)**

				Nov	1	21:02:08	MET:MDD TLV			
Nov 1 21:02:08 MET: Cab	T: Cable5/0/1 MDD datagr	able5/0/1 MDD datagramsize 127. msg len	Nov	1	21:02:08	MET:Downstr	eam Active	e Channel I	list	
125, ehdr type_or_len 107, tlv_size		7 max_pak_size 1518	Nov	1	21:02:08	MET:Channel	ID:	24		
Nov	1 21:02:08 ME	T: MDD MESSAGE		Nov	1	21:02:08	MET:Frequen	су:	453	3000000Hz
Nov	1 21:02:08 ME	T: FRAME HEADER		Nov	1	21:02:08	MET:Modulat	ion Order/	Annex:256	OAM/AnnexB
Nov	1 21:02:08 ME	T: FC	- 0xC2 ==	Nov	1	21:02:08	MET:Primarv	Capable:	Prima	rv-Capable
Nov	1 21:02:08 ME	T: MAC_PARM	- 0 <b>x</b> 00	Nou	1	21.02.08	MET Downstr	oom Active	Channol I	iet
Nov	1 21:02:08 ME	T: LEN	- 0x7D	NOV	-	21.02.00	MET . Obernel			itst
Nov	1 21:02:08 ME	T: MAC MANAGEMENT MESS	AGE HEADER	NOV	1	21:02:08	MET:Channel	ID:	25	
Nov	1 21:02:08 ME	T: DA	- 01E0.2F00.0001	Nov	1	21:02:08	MET:Frequen	су:	461	.00000Hz
Nov	1 21:02:08 ME	T: SA	- 0005.00E4.998F	Nov	1	21:02:08	MET:Modulat	ion Order/	Annex:256	QAM/AnnexB
Nov	1 21:02:08 ME	T: msg LEN	- 6B	Nov	1	21:02:08	MET: Primary	Capable:	Not Primar	y-Capable
Nov	1 21:02:08 ME	T: DSAP	- 0			:				
Nov	1 21:02:08 ME	T: SSAP	- 0			:				
Nov	1 21:02:08 ME	T: control	- 03	Nor	1	21.02.00	MEI		Deventeres	m. Comrigo
Nov	1 21:02:08 ME	T: version	- 04	NOV	⊥ Grc		MEL .	MAC DOMAIN	Downscrea	III Service
Nov	1 21:02:08 ME	T: type	- 21			01 . 00 . 00				0
Nov	1 21:02:08 ME	T: dcid	- 26 ==	NOV	1	21:02:08	MET:	MD-DS-SC	5 ID:	2
Nov	1 21:02:08 ME	T: MDD TLV, Total TL	V size - 97	Nov	1	21:02:08	MET :	Channel	IDs:	24
				Nov	1	21:02:08	MET :			25
				Nov	1	21:02:08	MET :			26
				Nov	1	21:02:08	MET :			27
				Nov	12	1:02:08 MET	ET:Downstream Ambiguity Resolution Frequency List			
				Nov	12	1:02:08 MET	:Frequencies:	45	3000000Hz	
				Nov	1 2	1:02:08 MET	:	46	1000000Hz	
				Nov	12	1:02:08 MET	:	46	9000000Hz	
				Nov	1 2	1:02:08 MET	:	47	7000000Hz	

# **DOCSIS 3.0 Registration**



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### DOCSIS 3.0 Qualification



### **DOCSIS 3.0 Qualification Levels**

### Bronze

Downstream Channel Bonding IPv4 / IPv6 Cable Modem Manageability

Silver

Bronze

**Advanced Encryption System** 

**Upstream Channel Bonding** 

**Multicast** 



### Gold

Full DOCSIS 3.0 Compliance

### **DOCSIS 3.0 Qualification Guidelines**

- CMTS can be submitted for Silver or Full qualification
- CM can only be submitted for Full certification
- Results for CertWave 79 are the latest posted
- The list of qualified products can be found at:

http://www.cablelabs.com/certqual/lists/
# 

### DOCSIS 3.0 CMTS Models



# **DOCSIS 3.0 CMTS Models**

- The DOCSIS Specification outlines two CMTS Models
- Recall the CMTS is the Network Element which forwards traffic from the Network Side Interface (NSI) to the RF Interface (RFI)
- Integrated CMTS

Downstream and Upstream Interfaces implemented in single entity

Modular CMTS (M-CMTS)

**DOCSIS Timing Interface Server** 

**Converged Internetwork (CIN)** 



# Integrated CMTS Model



# **Integrated CMTS**

**High Density Linecards** 





# Integrated CMTS with DOCSIS 3.0

#### **High Density Linecards**



# **Modular CMTS Model**



# Modular CMTS



# Modular CMTS with DOCSIS 3.0



# **DOCSIS 3.0 M-CMTS System**



# **DEPI Intro**



- DEPI is an IP Tunnel, known as a pseudowire, that exists in the downstream direction between the DOCSIS MAC in the M-CMTS Core and the DOCSIS PHY that exists in the EQAM.
- The CIN (Converged Interconnect Network) may be a Layer 2 or Layer 3 network.
- The M-CMTS Core provides the DOCSIS MAC functionality, while the EQAM provides the DOCSIS PHY functionality.
- DEPI interfaces the MAC to the PHY.

# **Encapsulation of DOCSIS MPEG-TS**

- Seven (7) 188 Bytes MPEG-TS packets are encapsulated
- Ethernet, IP, and L2TPv3 Headers added

### 46 or 50 bytes (50 bytes w/ VLAN tag)

- Ethernet Frames sent to GigE MAC for transmission to the external QAM box.
- 188 bytes/MPEG-TS pkt \* 7\_MPEG\_Pkts\_Per\_Frame + 46 or 50 bytes of Header/CRC (50 with VLAN tag)
- For example, an Ethernet frame without a VLAN tag and with seven MPEG-TS packets would be 1362 Bytes long.



#### Cisco RF Gateway Family: U-EQAM Solutions for SDV, VOD, M-CMTS, DOCSIS 3.0 and VDOC



# D3.0 Network Design Examples



#### **D3.0 Overlay & Narrowcast Architectures**







- Customer had an existing DOCSIS 2.0 solution in place today and would like to migrate to a higher speed service.
- The initial plan was to deploy up to 50Mbps and then up to possibly 100Mbps. USCB was also to be included in the plan but not initially implemented

# **Example Initial Configuration**



HHP and penetration depends on service/tier mix

# Example Initial Configuration (using MC5x20)



- 5, 1x4 MAC domains with 256-QAM DS & 3.2 MHz, 16-QAM US
  - 2 fiber node service groups (SG) with US load balance
    - 7+1 linecard redundancy
    - 70 US nodes covered by 10K chassis (35 SGs)

## **Example Service Templates**

- Option 1: 50 Mbps bonded service
- Option 2: 100 Mbps bonded service
- Option 3: 100 Mbps DS and 50 Mbps US bonded service

# **Option 1: 50 Mbps DS Service**

- 50 Mbps high-end tier will require 3 DS carriers
- Provides one MC520 DS and a maximum of 4 DS carriers from the RFGW
- Maximum flexibility that allows high peak bonded rate while allowing load balancing of D1.x/D2.0 CMs
- Minimal wiring requirements

Enables future DS growth with no wiring change

Reduces node splits and de-combines

# **Option 1: 50 Mbps Service Tier**



- 4, 2x4 MAC domains with 256-QAM DS w/ ATDMA & TDMA USs
  - E-qam overlays 2 SGs, also 5<sup>th</sup> DS from 2 linecards

# **Option 1: 50 Mbps Service**



#### **Cisco Products**

- UBR10012 CMTS w/ PRE2
- "Rembrandt", 12.3(23) IOS software
- 5x20H linecards
- Saratoga SIP with 2 SPAs
- RFGW-1 EQAM

2 chassis

5 modules each

- DPC3000 cable modem Resources (Per SG)
- 3 DS frequencies
  - 1 I-DS

2 M-DS

• 2 US frequencies

# **Option 2: 100 Mbps Service**

- Enables 100 Mbps service with 4 DS carriers
- No wiring change enable 2 additional chs on EQAM
- Provides one MC520 DS and a maximum of 4 DS carriers from the RFGW

Maximum bonded speed of 160 Mbps without wiring change

- Maximum flexibility that allows high peak bonded rate of 120 Mbps while allowing load balancing of D1.x/D2.0 CMs
- Minimal wiring requirements

Enables future DS growth with no wiring change

Reduces node splits and de-combines

# **Option 2: 100 Mbps Service**



4, 3x4 MAC domains with ATDMA & TDMA USs

# **Option 2: 100 Mbps Service Tier**



**Cisco Products** UBR10012 CMTS w/PRE2 ", 12.2SCAIOS " 5x20H linecards SIP with 4 SPAs **RFGW-1 EQAM** 2 chassis 5 modules each **DPC/EPC 3000 CM** DPC/EPC 3002 eMTA **Resources**(PerSG) **5 DS frequencies 1 I-DS 4 M-DS** (3 Primary) **2 US frequencies** 

### **Option 3: 100 Mbps DS & 50+ Mbps US Service**

- Extends 100 Mbps service with 4 DS carriers
- Enables 50+Mbps bonded US service with US carriers
- Minimizes wiring change

# Option 3: 100+ Mbps Tier & Channel US Bonding (Step 1)



#### • 5, 5x4 MAC domains with ATDMA & TDMA USs

### Option 3: 100+ Mbps Tier & Channel US Bonding (Step 2)



- 5, 5x4 MAC domains with ATDMA & TDMA USs
  - Enable US channel Bonding across 2 FN

### **Option 3: 100 Mbps Tier & 2 Channel US Bonding**



**Cisco Products** UBR10012 CMTS w/ "Amazon", 12.2SCA IOS Spumoni SIP with 6 SPAs 5x20H linecards **RFGW-1 EQAM** 3 chassis 6 modules each **DPC/EPC 3000 CM** DPC/EPC 3002 eMTA **Resources**(Per SG) **5 DS frequencies 1 I-DS 4 M-DS** (5 Primary) **2 US frequencies** 

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### **DOCSIS Specifications**

# **DOCSIS Specifications**

DOCSIS 1.0 (<u>http://www.scte.org</u>)

```
ANSI/SCTE 22-1 2007
```

DOCSIS 1.1 (<u>http://www.cablemodem.com</u>)

SP-RFIv1.1 – Radio Frequency Interface v1.1

DOCSIS 2.0 (<u>http://www.cablemodem.com</u>)

SP-RFIv2.0 – Radio Frequency Interface v2.0

DOCSIS 3.0 (<u>http://www.cablemodem.com</u>)

SP-PHYv3.0 – Physical Layer

**SP-DRFI – Downstream RF Interface** 

**SP-MULPIv3.0 – MAC and Upper Layer Protocols Interface** 

**DOCSIS Specifications Cont.** 

# DOCSIS 3.0 SP-SECv3.0 – Security Specification

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# **DOCSIS 3.0 IPV6**

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- IPv6 Drivers in Broadband Access
  Networks
- Structure of IPv6 Protocol
- Deploying IPv6 in Cable Networks
- IPv6 CM Initialization Steps in Detail

# **IPv6 Drivers**

# IPv4 address constraints are becoming a burden on network operations

- > Network scaled to use IPv4 in the last 20 years
- IPv4 address exhaustion
- > All IP quad play (Data, Video, Voice. Mobility)
- > Industry consolidation

## Build infrastructure for future services

- Global transparency
- Plug and play home networking
- > Access transparency

# **IPv6 Drivers**

- Convergence of multiple services over IP is driving the need for large scale addressing
  - MSO infrastructure
  - Home/SMB networks

### IP is being embedded in devices that are no longer "computers" limited to IT environment

- Sling-boxes, IP cameras, PDAs, gateways, automobiles, media centers, IP phones, etc.
- Home Networking combined with "always on" technologies (e.g. Broadband Cable, DSL)
  - > Consumers demanding plug-and-play operation.
  - Consumer space is migrating toward a one-subnet-per-home model (instead of a shared subnet across multiple homes)



- IPv6 Drivers in Broadband Access Networks
- Structure of IPv6 Protocol
- Deploying IPv6 in Cable Networks
- IPv6 CM Initialization Steps in Detail
## **IPv4 and IPv6 Header Comparison**

#### **IPv4 Header**

Version	IHL	Type of Service	Total Length				
Identification			Flags	Fragment Offset			
Time to	Live	Protocol	Header Checksum				
Source Address							
Destination Address							
		Padding					

#### **IPv6 Header**

th	Version	Traffic Class	Flow Label					
jment fset	Payload Length		Next Header	Hop Limit				
ksum	Source Address							
		Destinati	on Address	5				



Legend

- Field name kept from IPv4 to IPv6



- Fields not kept in IPv6

### **IPv6 Addressing**

#### IPv6 128-bits

$$2^{32} = 4,294,967,296$$
  
 $2^{128} = 340,282,366,920,938,463,463,374,607,431,768,211,456$   
 $2^{128} = 2^{32} \cdot 2^{96}$   
 $2^{96} = 79,228,162,514,264,337,593,543,950,336$  times the number of possible IPv4 Addresses (79 trillion trillion)

### **IPv6 Addressing**



2<sup>128</sup> 6.5 Billion

= 52 Trillion Trillion IPv6 addresses per person

=

World's population is approximately 6.5 billion



Typical brain has ~100 billion brain cells (your count may vary) **52 Trillion Trillion** 

**100 Billion** 

523 Quadrillion (523 thousand trillion) IPv6 addresses for every human brain cell on the planet!



- IPv6 Drivers in Broadband Access Networks
- Structure of IPv6 Protocol
- Deploying IPv6 in Cable Networks
- IPv6 CM Initialization Steps in Detail

## **Cablelabs IPv6 Decision and Approach**

- CableLabs members put IPv6 in consideration for DOCSIS 3.0
  - IPv6 support was amongst the top DOCSIS 3.0 feature candidates
- DOCSIS 3.x MUST fully support IPv6
- Rationale
  - Increased address space for CM management
  - CPE services
- Proposed Phases
  - Phase 1 CM provisioning and management over IPv6; embedded IPv6 router
  - Phase 2 Remaining IPv6 features for CPE services, for example IPv6 CPE provisioning and IPv6 service support

### **IPv6 Features in DOCSIS 3.0**

### Customer will have premises Network, not individual CPEs on HFC

- "Lightweight router" function to be defined as eROUTER function
- Customer will be assigned /48 prefix for sub-delegation within premises network
- CM can be provisioned and managed exclusively through IPv6
  - Relieves pressure on IPv4 address space
  - > Customer can still receive IPv4 service (dual-stack network)

### **IPv6 Features in DOCSIS 3.0**

- HFC may have management prefix for CMs and managed CPEs, and service prefix for data service
- DHCPv6 used for address assignment to meet MSO requirement for IPv6 address control
- Fields, options and sub-options from DHCPv4 redefined as vendor-specific options in DHCPv6

### Theory of Operations: DOCSIS 3.0

- CM can operate in either Bridging or Routing mode
- CM management stack can operate in
  - IPv4 only mode
  - > IPv6 only mode
  - Dual mode

### CM instructed by the CMTS via an L2 message (MDD) as to what mode to use

➢ If the CM does not receive any message from the CMTS it operates in DOCSIS 2.0 mode

### DOCSIS IPv6 Customer Network Model



### **DOCSIS IPv6 Customer Network Model**

#### **DOCSIS 3.x IPv6 Reference Architecture**





- IPv6 Drivers in Broadband Access Networks
- Structure of IPv6 Protocol
- Deploying IPv6 in Cable Networks
- IPv6 CM Initialization Steps in Detail

## CM provisioning: Layer 2

### CMTS sends an L2 message to the CM that controls

Use of IPv4 or IPv6 as the preferred mode for CM provisioning and management

**Dual stack management** 

Alternate Provisioning Mode (APM): If preferred mode fails, restart provisioning in the alternate mode



## **CM Provisioning: Acquire IP connectivity**

DHCPv6 used for address configuration

Stateless auto configuration NOT used

- MSOs want to have the knowledge and want to control IP address assignments
- MSOs used to DHCP. Minimizes changes in operational models

## **CM Provisioning: Acquire IP connectivity**



### **CM Provisioning: Obtain TOD**



### **CM Provisioning: Obtain Configuration File**



### **CM Provisioning: Complete Registration**



### **Questions?**

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# **DOCSIS 3.0 Multicast**

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### IP Multicast Primer

- Multicast in Earlier DOCSIS Versions
- Multicast in DOCSIS 3.0

### **IP Multicast Motivation**

 Distributed Applications: Makes multipoint applications possible

 Enhanced Efficiency: Controls network traffic and reduces load on servers

• **Optimized Performance:** Eliminates traffic redundancy

### **IP Multicast Operation**



### **IP Multicast Operation**



### **IP Multicast Motivation**

### **Example: Video Streaming**

Video over DOCSIS (VDOC) MPEG-4 Stream of 1020p Video Assuming average of 7.5Mbps



#### Users



### **Multicast Applications**

- Streaming Audio and Video Content
  - ASM Content to STB and Cable Subscribers
- IP Video (Video over DOCSIS)
  - Allows static SSM Multicast media content on bonded channels
  - Eastern Europe & American

### **Multicast Applications**

- Set-Top-Box Programming
  - Conditional access (CA) and system information (SI)
  - Out of Band method to communicate with STBs (e.g. DSG DOCSIS STB Gateway)
- Multicast VPN Services
  - Business Services utilizing L2VPNs have customer requests to carry Multicast traffic
  - Examples: Video conferencing, NetMeeting, Finance-specific application

### **Multicast and Unicast in MSOs**





### • ASM service model (RFC1112) (any source multicast)

– Sources send traffic to a host-group G

- Receivers join a host-group (\*,G) and receive traffic from **all sources** sending to G

- Receivers do not need to know who the sources are

### • SSM service model (RFC 4607) (source specific multicast)

– Sources send traffic to a host-group G

 Receivers subscribe to (S,G) channel(s) and receive traffic from specific sources sending to G

- Receiver need to know the sources in advance





### Source **Specific Multicast**

**Multicast Streams** (S1,G1) and (S2, G1) are unique even though they have the same G1 Multicast Address

Well Known source addresses does not require Shared Tree Infrastructure (e.g. MSDP and RP)



Join (S1,G1)

Join (S2, G1)

### **IP Multicast: IGMP Protocol**

- Defines mechanism for a host to report its multicast group membership to adjacent routers
- IGMPv1

Hosts send IGMP Report to join an ASM group

Router send periodic queries to ensure ASM group has active listeners

Hosts respond to queries and support report suppression

#### • IGMPv2

Adds explicit *leave mechanism* 

#### • IGMPv3

Adds Include/Exclude Source Lists

**No Report Suppression** to allow explicit tracking and better "channel" change behavior



- IP Multicast primer
- Multicast in earlier DOCSIS versions
- Multicast in DOCSIS 3.0

### Multicast Support in DOCSIS 1.0

- DOCSIS 1.0 does not standardize the support for dynamic multicast
- DOCSIS 1.0 CM forwards all multicast traffic, by default
- Multicast forwarding through the CM can be controlled statically by setting packet filters

### Multicast Support in D1.1/2.0

Provides Dynamic Access to ASM multicast

Ability to dynamically learn which streams should be forwarded to the cable network

Conservation of bandwidth

Conservation of HFC Downstream and Upstream Conservation of CPE LAN bandwidth

Authorized access and management
 Ability to provide Cable operator control of multicast
 Session access on a per subscriber basis
## Multicast support in D1.1/2.0

- CM and CMTS snooping of IGMPv2
- Packet Classification Rules (LLC Filters and IP Filters)
- Baseline Privacy for multicast streams based on snooped joins
- CMTS required to Echo IGMP membership reports to the downstream (this is how the CM knows its joined an IGMP group)

## Multicast Support in D1.1/2.0



- 1. PC-A Sends IGMP Membership Report (by CPE Interface)
- 2. CM snoops the Membership Report and forwards it. CM immediately forwards any Multicast Group traffic received on RF interface to the CPE
- 3. CMTS receives Membership Report and echoes it back on the downstream (All DS if it is the first)
- 4. If not already streaming, the multicast stream starts on that Downstream group (note CMTS may choose to send multicast traffic only on those Downstreams with multicast hosts)

## Drawbacks in D1.1/2.0

- Protocol-aware implementation (e.g. IGMP snooping) makes CM more complex and less extensible
  - No support for IGMPv3 and SSM
  - Only IGMP protocol supported (e.g. no IPv6 MLDv1/v2)
  - No support for routed networks on CPE side
  - Rudimentary support for static multicast

## Drawbacks in D1.1/2.0

- No support for Multicast QoS (no explicit service flow created for multicast stream)
- IGMP echo requirement rules out explicit tracking of multicast listeners
- No Support for multicast PHS (Packet Header Suppression)
- Rudimentary support for multicast authorization
- No join acknowledgement



- IP Multicast primer
- Multicast in earlier DOCSIS versions
- Multicast in DOCSIS 3.0

## **D3.0 Multicast - Goals**

- Support for SSM and IGMPv3
- IPv6 multicast support (pre and post registration)
- Support for Multicast QoS
- Support bonded multicast
- Support for non-IGMP based multicast
- Maintain backward compatibility with legacy DOCSIS devices
- Enable explicit tracking of multicast listeners

## **D3.0 Multicast - Architecture**



## **DOCSIS 3.0 Multicast Architecture**

- No IGMP Snooping in the CM
- DSID label used to identify a replication of a multicast stream
- CMTS has complete control of multicast forwarding in the CM via DBC (dynamic bonding change) messaging
- Multicast Filtering and Replication within the CM
  - Based on DSIDs
  - GMAC promiscuous operation

## **DSID** – What are they?

- An MLPPP like technology
- Each packet is tagged with a sequence number and a re-sequencing index:

The sequence number is used to place packets back in order

 The re-sequencing index (DSID – downstream service ID) is used so that each flow, or group of flows, could be re-sequenced independently.

## **DSID** – What are they?

#### • The DSID serves as:

- A re-sequencing index
- DSIDs can be used only on a specific set of QAMs (DCS)
- A tag to identify unicast flows
- A tag to identify (and filter on) multicast flows
- Associated with an interface mask on the CM for multicast replication

### How is the DSID related to service flows ?

- Not directly related
- Several service flows can map to one DSID
- Several DSIDs can map to a single service flow

– DSIDs and service flows are signaled independently, in other words, there is no messages that associated a service flow to a DSID

## DSID Example – In a Unicast Scenario



## **Duplicate prevention through D3.0 CMs**



## **Duplicate prevention through D3.0 CMs**



## **Duplicate prevention through D3.0 CMs**



## **D3.0 Dynamic Multicast Support**

- CM provisioned in D3.0 mode does not snoop IGMP/MLD packets
- CMTS supports IGMPv3 and MLDv2
- CMTS sends periodic IGMPv2, IGMPv3, MLDv1 and MLDv2 general queries
- CMTS does not echo back membership reports
- CMTS can track membership explicitly
  - Per-CPE basis for IGMPv3/MLDv2
  - Per-CM basis for IGMPv2/MLDv1
- CMTS needs to ensure that the right version of IGMP/MLD general queries is forwarded through a CM

## D3.0 Dynamic multicast support

- When CMTS receives join request from a multicast client, it signals the DSID to the CM using DBC messaging with client MAC address and encryption info
- If CMTS receives join request from another multicast client behind that CM, it signals that client MAC address to the CM to control stream replication within the CM
- When CMTS receives a IGMPv2/MLDv1 leave message it checks for additional receivers behind the CM using a group-specific query directed to that CM
- If there are no receivers (IGMP query times out), it signals the CM to delete the DSID

# Multicast Support in D1.1/2.0



- IGMP Membership Report (IGMP JOIN)
  - 1. PC-A1 Sends IGMP Membership Report (by CPE Interface)
  - 2. CM Forwards the IGMP JOIN to CMTS
  - 3. If not already created, a DSID is created for multicast flow and CMTS forwards the traffic to the appropriate multicast hosts.
  - 4. CMTS replies with DBC Message with DSID and Encryption info to the CM (not IGMP echo as in D2.0)
  - 5. PC-A2 sends an IGMP Membership Report. CM signals the CMTS. If same Multicast group the replication happens at CM.

# Multicast Support in D1.1/2.0



- 1. PC-A1 Leaves Sends IGMPv2 Leave message
- 2. CM Forwards the IGMP Leave to CMTS
- 3. CMTS Checks for additional receivers behind the CM with group-specific query
- 4. PC-A2 replies to the Group Specific Query and the Multicast stream continues
- 5. PC-A2 Leaves Sends IGMPv2 Leave message
- 6. CMTS Checks for additional receivers behind the CM with group-specific query
- 7. Group specific query to the CM times out and it signals CM to delete the DSID
- 8. If no other Multicast hosts exists, CMTS stops the Multicast flow

## **Questions?**

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## **BACKUP SLIDES**

## **D3.0 Static M-Cast Example**



## **D3.0 Dynamic M-Cast Example**



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## Duplicate prevention through legacy CM

- Need to ensure that legacy CMs don't forward part of bonded replication along with the full non-bonded replication
- Downstream Service Extended Header with DSID is ignored by legacy CMs
- D3.0 traffic can be isolated from legacy CMs by
  - Setting FC-type to binary 10
  - Using SAID filtering
    - Isolation SAID
    - Per-Session SAID unknown to legacy CMs
- Multicast packets meant for legacy CMs must have FC-type set to binary 00

- D3.0 support Per-Session SAIDs
   Unique within the MD-DS-SG
- Dynamic SAs are communicated during CM registration for static multicast and by DBC messaging for dynamic multicast joins
  - Replaces SA Map request and reply mechanism
- SAID filtering and decryption is completely independent of DSID filtering

## **Multicast Replication Example 1**



## **Multicast Replication Example 2**



BG = Bonding Group DC = Downstream Channel

## **Multicast Replication Example 3**



# **D3.0 Multicast – Support for Hybrid CMs**

- Hybrid CM is a D2.0 CM with some D3.0 capabilities
- D3.0 introduces MDF and FC-Type capability encodings
- Hybrid CMs may not support FC-Type of binary 10

- CMTS must isolate traffic using SAID filtering

- Reports Group MAC address (GMAC) explicit Multicast DSID Forwarding capability (MDF = 1)
- CMTS sets the MDF mode on the CM

## D3.0 Multicast – GMAC Explicit MDF

- Defined for hybrid CMs that don't implement DSID filtering in hardware
- CM in GMAC-explicit MDF mode is signaled the GMAC along with the DSID in DBC message
- CM does GMAC filtering
  - MAC Filtering is independent of DSID filtering
- If CMTS overrides MDF capability of Hybrid CM to GMAC-promiscuous, it provides SAID to the CM to facilitate hardware filtering

## **Multicast with Hybrid CM Example 1**



DC = Downstream Channel

## Multicast with Hybrid CM Example 2



## D3.0 Multicast: MDF disabled mode

- CMTS can set MDF capability of a CM to MDF disabled
- CM operates like a D2.0 CM
  - Performs IGMP snooping
  - Supports Static Multicast MAC Address Encoding TLV
  - Forwards multicast traffic from primary DS channel
  - Drops multicast traffic from other DS channels
  - Uses SA map request mechanism

## **D3.0 Pre-registration multicast support**

- Required for IPv6 control plane packets used by CMs prior to registration
- CMTS labels these packets with a Pre-Registration DSID
- Forwarded only to the CM IP stack
- Pre-Registration DSID is advertised in MDD message
- CMTS can encrypt pre-registration packets if CM supports Early Authentication and Encryption (EAE)

## **IP Multicast: IGMP Protocol**

#### IGMPv1

- Hosts send IGMP Report to join an ASM group
- Router send periodic queries to ensure ASM group has active listeners
- Hosts respond to queries and support report suppression
- No group-specific queries (e.g. All Multicast Streams forwarded)



## **IP Multicast: IGMP Protocol**

#### IGMPv2

- Adds explicit *leave* mechanism
- Group Specific Queries

#### IGMPv3

- Adds Include/Exclude
  Source Lists
- No Report Suppression to allow explicit tracking and better "channel" change behavior



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Society of Cable Telecommunications Engineers



# **Video over DOCSIS**

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#### **VDOC** Introduction



#### Video Over DOCSIS

- What is it ?
  - Solution for the delivery of managed IPTV services over a DOCSIS network
  - Broadcast TV and VoD services
  - TV, PC, and other devices in the home
  - Provide user experience subscribers expect from their cable operator

### **DOCSIS 3.0 and IPTV**



- Primary and Secondary Bonding groups
- HSD Subscribers can lock Primary
- Video Subscribers can
  lock Secondary
- IP Set top box
- IPTV Broadcast is not taking bandwidth away from Primary Bonding Group or HSD Subscribers

#### **Motivations for Cable IPTV**



### **Cable IPTV System Reference Architecture**



#### **Benefits of VDOC**

#### Bandwidth Efficiency

Variable Bit Rate (VBR) Content Delivery (40% Efficiency Gains)

Long Tail / Broadcast Content by Switching

**DOCSIS 3.0 Efficiency Gains (Channel Bonding vs Single Channel Gains)** 

#### Cost Efficiency

Elimination of the traditional STB with IP STB

No QAM tuners in IP STB

For detailed analysis: <u>http://spsu-</u> nsite.cisco.com/publications/viewdoc.php?docid=5651

**Ubiquitous IP Transport** 

#### Making the Transition to Cable IPTV





#### Making the Transition to Cable IPTV

Capital Cost Savings





#### **Channel Bonding creates efficiency gains** Big Channel "Packing Advantage"



Unbonded channels create inefficient boundaries Bonding drives efficient "Packing" Benefit varies MPEG2/4 HD/SD mix Bonding group size

### Efficiency Gains from VBR Video – 40-60%

- VBR streams provide equivalent/better quality at lower avg BR
- Law of large numbers favors simple VBR multiplexing in large pipe



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#### VDOC Technology Overview



#### **CMTS** Features for VDOC

- DOCSIS 3.0 channel bonding
- Dynamic bandwidth sharing
- DOCSIS 3.0 multicast
- RF spanning
- Admission control and QoS
- VBR video and IP statmuxing

#### **DOCSIS 3.0 Multicast Features**

- SSM and IGMPv3
- IPv6 multicast support (pre and post registration)
- Multicast QoS
- Support for bonded multicast
- Non-IGMP based multicast
- Support for multicast authorization
- Multicast encryption

### **DOCSIS 3.0 Channel Bonding**

Separate DS bonding groups for HSD/Voice and IPTV



### **RF Spanning**

- A set of downstreams can be split to multiple/all SGs served by the CMTS
  - Similar to broadcast QAMs, but limited to CMs served by a CMTS
  - Downstreams use same RF frequencies in each SG
- Useful for initial deployments where penetration rate may be low

**IPTV** clients may be lightly distributed across multiple SGs

Operator can deploy a handful of downstreams to start IPTV service

 When combined with static multicast, can replicate a broadcast style architecture

#### RF Spanning Initial low-penetration IPTV deployments



#### **Bonded Multicast**

• A BG is RF-spanned to all SGs and carries multicast IPTV streams

IPTV streams are delivered at all times as static multicast flows – regardless of viewership

Most popular content can be carried in a 4-channel BG

Long-tail content is carried over narrowcast BGs

- Subset of receive channels on CM are statically tuned to this RFspanned BG to receive multicast IPTV streams
- Trade-offs

Less spectrum efficient than narrowcast BGs if all static multicast IPTV streams are not viewed by at least one CM in each SG at all times

**Requires CMs with additional receive channels** 

The number of video streams that can be carried in such fashion is dependent on number of receive channels available on CM and spectrum availability

### **Bonded Static Multicast**



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## (Bonded) Dynamic Multicast



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### Where is VDOC Today?

- Cisco Newcastle 1.1/2.0 Solution Architecture has been in development for the last 2 years.
- Currently one Eastern Europe SP has VDOC deployed
- Currently one US MSO has a trial VDOC deployed
- There is interest from US Service Providers
- Most SPs are still in the discussion phase with VDOC

#### **Questions?**